



Prudential ISA

Withdrawal Form



The Prudential ISA is available online for new applications and the management of existing investments.

Online application and account management does not affect ISA eligibility requirements, execution policy or your cancellation rights.

If you have a financial adviser, they are able to buy and sell investments and amend your personal details online on your behalf. (Advice is required for new investments within the Prudential ISA.) The Prudential ISA terms and conditions have therefore been amended to provide your consent for your adviser to buy and sell and to make amendments online on your behalf, and to incorporate requirements particular to online activity (including those relating to personal data and data security). However, the Prudential ISA terms and conditions are otherwise unchanged and apply equally to online and other activity.

Registration is required for access to online services. Once registered for online access, you will be notified of any action taken on your account by you or your adviser on your behalf.

For more information, contact us on 0344 335 8936.

About this form

Please complete all relevant sections in blue or black ink and write in BLOCK CAPITALS or tick boxes as appropriate and sign this form in Part 6.

Please return the completed form to: **Waystone Financial Investments Limited, PO Box 384, Darlington DL1 9RZ.**

Complete this form if you would like to set up a regular withdrawal, or make a full or partial withdrawal from your Prudential ISA.

We may have to return any form that is incorrectly completed. This could mean that the sale of your investment may be delayed or even rejected. It could also affect the sale price of your investment. In such event Waystone Financial Investments Limited will not be liable for such delay.

There are no withdrawal charges.

If you have any questions about this form, please call us on 0344 335 8936 between 8:30am and 5:30pm Monday to Friday. For your security and to improve the quality of our service, we may record and monitor telephone calls.

The holder must sign Part 6 of this Withdrawal Form. Please be advised settlement proceeds may be withheld pending satisfactory completion of our security procedures.

Part 1 – Personal details

| | |
|-----------------|----------------------|
| Investor number | <input type="text"/> |
| Full name | <input type="text"/> |
| Address | <input type="text"/> |
| | Postcode |

Part 2 – Instruction to set up regular withdrawal facility

Complete this section if you want to make a regular withdrawal from your investment.

PART 2A. DATE OF REGULAR WITHDRAWAL

Please complete this section to inform us of the date and which month you want the payments to be taken.

| | | | | | | | | | | | | | | | |
|--------------------------------|--------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----|--------------------------------|--------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text" value="0"/> | <input type="text" value="5"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | or | <input type="text" value="2"/> | <input type="text" value="0"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
|--------------------------------|--------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----|--------------------------------|--------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|

The payment will reach your bank account four business days after the withdrawal date chosen.

PART 2B. FREQUENCY OF REGULAR WITHDRAWAL

Please complete this section to inform us of the frequency in which you wish to take your regular withdrawal.

Yearly Half-yearly Quarterly Monthly

Part 2 – Instruction to set up regular withdrawal facility continued

PART 2C. AMOUNT OF REGULAR WITHDRAWAL

Please specify the amount of regular withdrawal in your chosen fund(s).

| Fund Name | R Share Class (advised) (minimum £50) | A Share Class (non-advised) (minimum £50) | Total Amount (minimum £50) |
|---|---|---|-------------------------------|
| Prudential PruFund Risk Managed 1 | | | £ |
| Prudential PruFund Risk Managed 2 | | | £ |
| Prudential PruFund Risk Managed 3 | | | £ |
| Prudential PruFund Risk Managed 4 | | | £ |
| Prudential PruFund Risk Managed 5 | | | £ |
| Prudential PruFund Cautious Fund | | | £ |
| Prudential PruFund Growth Fund | | | £ |
| WS Prudential Risk Managed Active 1 | £ | £ | £ |
| WS Prudential Risk Managed Active 2 | £ | £ | £ |
| WS Prudential Risk Managed Active 3 | £ | £ | £ |
| WS Prudential Risk Managed Active 4 | £ | £ | £ |
| WS Prudential Risk Managed Active 5 | £ | £ | £ |
| WS Prudential Risk Managed Passive Fund 1 | £ | £ | £ |
| WS Prudential Risk Managed Passive Fund 2 | £ | £ | £ |
| WS Prudential Risk Managed Passive Fund 3 | £ | £ | £ |
| WS Prudential Risk Managed Passive Fund 4 | £ | £ | £ |
| WS Prudential Risk Managed Passive Fund 5 | £ | £ | £ |

IMPORTANT

Please note:

- The total value of the regular withdrawals to be taken in any 12 month period must not exceed 100% of the value of your Prudential ISA. This calculation is performed when the withdrawal is established, on its re-commencement following any suspension, or should the amount be increased.
- The first regular withdrawal payment will only be paid after the 30 day cancellation period has lapsed on the eligible holding.
- You cannot elect to have a Regular Withdrawal facility if you have a Regular Investment facility and vice versa.
- If you elect to take regular withdrawals, we will only pay the proceeds to you by electronic transfer. It is your responsibility to ensure you keep us informed of your bank details and notify any changes, with evidence, to us without delay. Failure to do so may result in delayed payments being made to you.

Please complete the bank details in the boxes provided overleaf. Your financial adviser can verify the bank account details you enter by completing the section overleaf. If you are not using a financial adviser then we must verify that the account to which payments will be made is in the name of the registered investor. Accordingly, you must provide us with an original, void cheque*, or an original bank statement† (dated within the last three months) or certified copies of either. If the bank statement is printed in the branch it must be correctly certified with the following: the staff number of the bank employee, their full name, signature and contact telephone number to enable us to verify them. We will only return original bank statements to you. Certified copies and original void cheques will be retained for our sole record-keeping purposes.

* To void a cheque please cross through and write 'void' across the cheque.

† We are unable to accept a statement printed directly from the internet.

Part 2 – Instruction to set up regular withdrawal facility continued

NAME AND FULL POSTAL ADDRESS OF BANK OR BUILDING SOCIETY

Name

Address

Postcode

Bank/building society account number

Branch sort code

Building society reference/roll number (if applicable)

Name(s) of account holder(s)

Proceeds will normally be paid/released on the fourth business day following receipt of all documents. Please ensure that you check the details entered to this form carefully. Waystone Financial Investments Limited will not be liable for any delays should this form contain errors or omissions. It is your sole responsibility to ensure that your nominated bank account is capable of receiving electronic payments. If you are in any doubt you should contact your bank or building society before completing this form.

FOR USE BY ADVISERS ONLY

Please confirm, by signing the declaration below, that the sort code, account number and account name detailed in this Part 2 was obtained and verified by you in relation to the applicant.

Acceptable forms of evidence are an original bank statement, cheque, paying-in slip or a letter confirming the account details. Certified copies are also acceptable. However, we are not able to accept statements which are internet printed.

ADVISER DETAILS (ONLY TO BE COMPLETED BY A FINANCIAL ADVISER)

Company name

Agent code

Adviser name

FRN

IRN

Signature

Date

Part 3 – Instruction to amend a regular withdrawal arrangement

Please tell us how you want to amend your regular withdrawal facility. Please tell us when you wish for the relevant amendments to be made:

I wish to amend my regular withdrawals starting from

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

PART 3A. CHANGE OF FREQUENCY OF REGULAR WITHDRAWAL

Please complete this section if you wish to amend the frequency in which you take your regular withdrawal.

Current Frequency Yearly Half-yearly Quarterly Monthly

New Frequency Yearly Half-yearly Quarterly Monthly

PART 3B. CHANGE OF DATE OF REGULAR WITHDRAWAL

Please complete this section if you wish to amend the date on which you want the payments to be taken.

Current Date 5th 20th

New Date 5th 20th

PART 3C. CHANGE OF AMOUNT OF REGULAR WITHDRAWAL

Please complete this section if you wish to amend the amount of regular withdrawals you take.

Income/
Accumulation
option
(delete as
appropriate)

| | | | | | |
|------|--------------------------------|----|--------------------------------|--|--------------------------------------|
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the Prudential PruFund Risk Managed 1 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the Prudential PruFund Risk Managed 2 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the Prudential PruFund Risk Managed 3 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the Prudential PruFund Risk Managed 4 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the Prudential PruFund Risk Managed 5 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the Prudential PruFund Cautious Fund | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the Prudential PruFund Growth Fund | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Active 1 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Active 2 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Active 3 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Active 4 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Active 5 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Passive Fund 1 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Passive Fund 2 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Passive Fund 3 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Passive Fund 4 | <input type="text" value="Inc/Acc"/> |
| from | <input type="text" value="£"/> | to | <input type="text" value="£"/> | per month in the WS Prudential Risk Managed Passive Fund 5 | <input type="text" value="Inc/Acc"/> |

Part 4 – Withdrawal instructions

PART 4A. PARTIAL WITHDRAWAL

Please sell the number of shares/units, or shares/units to the cash value as specified below.

Unless you are selling your total holding in a fund, the minimum you can sell from each fund is £250 and you must leave a minimum of £500 in each fund.

| Fund Name | Share Type (Accumulation/ Income) | Number of shares or cash value | |
|---|---|--------------------------------|---------------------------|
| Prudential PruFund Risk Managed 1 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| Prudential PruFund Risk Managed 2 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| Prudential PruFund Risk Managed 3 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| Prudential PruFund Risk Managed 4 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| Prudential PruFund Risk Managed 5 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| Prudential PruFund Cautious Fund | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| Prudential PruFund Growth Fund | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Active 1 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Active 2 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Active 3 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Active 4 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Active 5 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Passive Fund 1 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Passive Fund 2 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Passive Fund 3 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Passive Fund 4 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |
| WS Prudential Risk Managed Passive Fund 5 | <input type="text"/> | <input type="text"/> | or £ <input type="text"/> |

PART 4B. FULL WITHDRAWAL

Please indicate by ticking the applicable box

Please sell all units held in my PruFund Funds only

Please sell all shares held in my WS Prudential Investment Funds (1)

Please sell all shares/units held in the PruFund Funds and WS Prudential Investment Funds (1)

Monthly contributions

If you are currently investing a regular monthly amount in a fund, do you wish to continue? Yes No

Part 5 – Payment details

Proceeds are normally paid by cheque made payable to, and then sent to, the registered address of the named holder of the ISA.

This is the default option if none of the alternative options below are selected.

Unless we are in receipt of bank details to enable us to pay the proceeds of any withdrawal to you, payment will be made by cheque (payable to the named investor only) and sent to the address held in our records. This may delay the time it takes for you to receive cleared funds in your bank account. If you wish to receive the proceeds directly to your bank or building society account, please complete the section below. Please note, Waystone Financial Investments Limited will not be responsible for any delays caused by errors or omissions in this form.

For us to make payment by electronic transfer, you must provide us with an original void cheque* or bank statement† (dated within the last three months). Certified copies are also accepted. We will only return original bank statements to you. Original void cheques and certified copy documents will be retained for our sole record-keeping purpose.

* To void a cheque please cross through and write 'void' across the cheque.

† We are unable to accept a statement printed directly from the internet.

NAME AND FULL POSTAL ADDRESS OF BANK OR BUILDING SOCIETY

Name

Address
 Postcode

Bank/building society account number

Branch sort code - -

Building society reference/roll number (if applicable)

Name(s) of account holder(s)

Proceeds are normally paid/released on the fourth business day following receipt of all documents. Please ensure that you check the details carefully before submitting this form as Waystone Financial Investments Limited cannot be held responsible for sending money to an incorrect bank account number provided by you.

This option is only available if your financial adviser is authorised to hold client money.

Cheque to your Financial Adviser’s client money bank account – please complete the box provided.

FINANCIAL ADVISER CLIENT MONEY BANK ACCOUNT NAME

Bank account name

Address

Postcode

Part 6 – Declaration

The holder must sign exactly as their account is registered, and state their capacity where applicable.

Investor signature

Capacity

Date

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|