

## Prudential ISA

# Amend Your Regular Saver

The Prudential ISA is available online for new applications and the management of existing investments.

Online application and account management does not affect ISA eligibility requirements, execution policy or your cancellation rights.

If you have a financial adviser, they are able to buy and sell investments and amend your personal details online on your behalf. (Advice is required for new investments within the Prudential ISA.) The Prudential ISA terms and conditions have therefore been amended to provide your consent for your adviser to buy and sell and to make amendments online on your behalf, and to incorporate requirements particular to online activity (including those relating to personal data and data security). However, the Prudential ISA terms and conditions are otherwise unchanged and apply equally to online and other activity.

Registration is required for access to online services. Once registered for online access, you will be notified of any action taken on your account by you or your adviser on your behalf.

For more information, contact us on 0344 335 8936.

### About this form

Please complete all relevant sections in blue or black ink, write in CAPITAL LETTERS or tick as appropriate and sign this form on the reverse.

**Before you sign this form:** you should read, understand or have had satisfactorily explained to you the latest versions of the Key Investor Information Document (KIID) or Key Features Document and Key Information Document and Prudential ISA costs and charges disclosure as applicable, for your chosen share/unit class in each fund or PruFund Fund in which you want to invest, and the ISA Terms and Conditions which includes the Link Financial Investments Limited Customer Agreement.

Please note that advice is required for New or Initial Investments.

The latest Prospectus and Annual and if more recent Interim Fund Reports and other PruFund Funds' Literature are available free of charge.

If you have any questions or would like a free up-to-date copy of the KIID, ISA Terms and Conditions, Prospectus, most recent Annual or Interim Fund Reports or additional application forms, please call us on **0344 335 8936** between 8:30am and 5:30pm Monday to Friday. All literature will be provided in English. These documents are also available at <https://www.waystone.com>.

Commission may be payable, depending on Share class chosen and whether advice has been given.

Please return the completed form to **Link Financial Investments Limited, PO Box 384, Darlington DL1 9RZ**.

We may have to return any application that is incorrectly completed. This could mean that the purchase of your investment may be delayed or even rejected. It could also affect the purchase price of your investment.

You can use this form at any time to

- change the amount you pay by Direct Debit.

Please note that you may only invest in an ISA if you are eligible.

### Part 1 – Adviser details (only to be completed by a financial adviser)

For commission eligibility and FCA product sales data purposes:  
if you did not provide advice on this sale please tick

(Where the transaction represents a New or Initial Investment) I confirm that I have provided advice, and, in relation to any New Investment, that the client’s “demands and needs” have been considered as part of that process, and that the proposed investment is consistent with those “demands and needs”.

Company name

Agent code

Adviser name

FRN

IRN

I confirm that the sort code, account number and account name was  
obtained and verified by me in relation to the applicant, where applicable.

### Part 2 – Investor details

Holder

Link customer number

Address

Postcode

### Part 3 – Changing the amount you pay by Regular Investments

Changes must reach us at least ten calendar days before the next collection otherwise the change will take place in the following month.

I wish to amend my regular savings contributions starting from

D	D	M	M	Y	Y	Y	Y
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I wish to commence regular savings contributions starting from

D	D	M	M	Y	Y	Y	Y
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Income/  
Accumulation  
option (delete  
as appropriate)

from £  to £  per month in the Prudential PruFund Risk Managed 1

from £  to £  per month in the Prudential PruFund Risk Managed 2

from £  to £  per month in the Prudential PruFund Risk Managed 3

from £  to £  per month in the Prudential PruFund Risk Managed 4

from £  to £  per month in the Prudential PruFund Risk Managed 5

from £  to £  per month in the Prudential PruFund Cautious Fund

from £  to £  per month in the Prudential PruFund Growth Fund

from £  to £  per month in the WS Prudential Risk Managed Active 1

from £  to £  per month in the WS Prudential Risk Managed Active 2

from £  to £  per month in the WS Prudential Risk Managed Active 3

from £  to £  per month in the WS Prudential Risk Managed Active 4

from £  to £  per month in the WS Prudential Risk Managed Active 5

from £  to £  per month in the WS Prudential Risk Managed Passive Fund 1

from £  to £  per month in the WS Prudential Risk Managed Passive Fund 2

from £  to £  per month in the WS Prudential Risk Managed Passive Fund 3

from £  to £  per month in the WS Prudential Risk Managed Passive Fund 4

from £  to £  per month in the WS Prudential Risk Managed Passive Fund 5

## Instruction to your bank or building society to pay by Direct Debit


Please fill in the whole form using a ball point pen and send it to:

Link Financial Investments Limited PO Box 384 Darlington DL1 9RZ	Service user number 4 3 0 8 6 6
Name(s) of account holder(s) _____ _____	Reference _____
Bank/building society account number _____	Instruction to your bank or building society Please pay Link Financial Investments Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Link Financial Investments Limited and, if so, details will be passed electronically to my bank/building society.
Branch sort code _____	
Name and full postal address of your bank or building society	
To: The Manager                      Bank/building society _____	
Address _____ _____ _____	Signature(s) _____ _____
Postcode _____	Date    D D M M Y Y Y Y

Banks and building societies may not accept Direct Debit Instructions for some types of account

This guarantee should be detached and retained by the Payer

### The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Link Financial Investments Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Link Financial Investments Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Link Financial Investments Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
  - If you receive a refund you are not entitled to, you must pay it back when Link Financial Investments Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

### Part 4 – How you want to pay your adviser

Please tell us what you want to pay to your adviser. Provided you are a UK resident for tax purposes, we will use the information you provide in this section to pay your adviser. If you are not a UK resident, you must settle any adviser costs yourself.

By signing the declaration you are:

- agreeing to the payment of the Adviser Charges documents in Part 4; and
- authorising us to accept all future instructions from your adviser for payment of Adviser Charges that you have agreed to pay them from your product.

You do not need to complete the information in Part 4 if:

- your adviser is billing you directly for their services; or
- your adviser is not charging you for their services.

#### REGULAR INVESTMENT INITIAL (SET-UP) ADVISER CHARGE

Complete this section if you will be making regular investments to your plan and have agreed a charge for advice with your adviser.

Spread payment of Regular Investment Initial (Set-Up) Adviser Charge (please complete one of the following options)

£      a month for   months

or  % a month for   months

### ISA Application & Investor Declaration

**Please note:** This application when accepted by Link Financial Investments Limited will constitute a binding contract and be evidenced by the issue of a contract note or allocation letter. No other acknowledgement will be issued at the time of application and only in the event of a query will there be further communication.

**Please note:** Link Financial Investments Limited reserves the right to request the original documentation at its discretion which you are obliged to promptly provide.

The terms and conditions are available on the Link Financial Investments Limited website: <https://www.waystone.com>, and are also available on request from contacting Link Financial Investments Limited on the contact details below.

**Data Protection Act:** We may send your details (including account/transaction details) to related third parties (including the investment manager and/or sponsor). If you do not wish to receive information on other products and/or services from related third parties, please tick this opt out box.

**Declaration:** To be completed by the applicant. I confirm that I have received, read and understood or had satisfactorily explained the information contained in this form and confirm that a copy of the Key Investor Information Document or the Key Information Document and Key Features Document, and Prudential ISA costs and charges disclosure as applicable, have been supplied to me. I am aware that the latest Prospectus and Annual and if more recent Interim Fund Reports and other PruFund Funds' Literature are available free of charge and I confirm that I have accessed them to the extent I believe necessary. I request and authorise Link Financial Investments Limited to act in accordance with my instructions where payment is made directly from your bank account.

**Bank details:** By signing the Adviser Declaration, your adviser is confirming that they have seen evidence of your bank details. For any bank account nominated on page 5, acceptable forms of evidence are an original bank statement, cheque, paying-in slip or a letter confirming your account details.

I apply to subscribe to a stocks and shares ISA for the tax year 

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 and each subsequent year until further notice.

If you have any queries please phone 0344 335 8936. For your protection telephone calls are recorded. Please send the completed form, together with a cheque made payable to 'Link Financial Investments Limited' if appropriate, to: Link Financial Investments Limited, PO Box 384, Darlington DL1 9RZ

I declare that:

- all subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to any combination of permitted ISAs in the same tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA;
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Link Financial Investments Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties;
- the information I have given is correct to the best of my knowledge and belief. I will inform Link Financial Investments Limited if any information provided in this ISA Application and Declaration changes;
- I have received, read and understood or had satisfactorily explained the ISA Terms and Conditions and the Key Investor Information Document or the Key Information Document and Key Features Document and Prudential ISA costs and charges disclosure;
- I am aware that the latest Prospectus and Annual and if more recent Interim Fund Reports and other PruFund Funds' Literature are available free of charge and I confirm that I have accessed them to the extent I believe necessary; and
- I agree that if I invest in a monthly savings plan (regular monthly payments), the amount(s) indicated will be deducted each month until further notice.

I authorise Link Financial Investments Limited:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

Full Name

Signature

Date 

D	D	M	M	Y	Y	Y	Y
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**IMPORTANT**  
 If a third party is signing on behalf of an investor a CVI must also be completed for that individual and relevant supporting documentation provided e.g. Power of Attorney/Court of Protection.