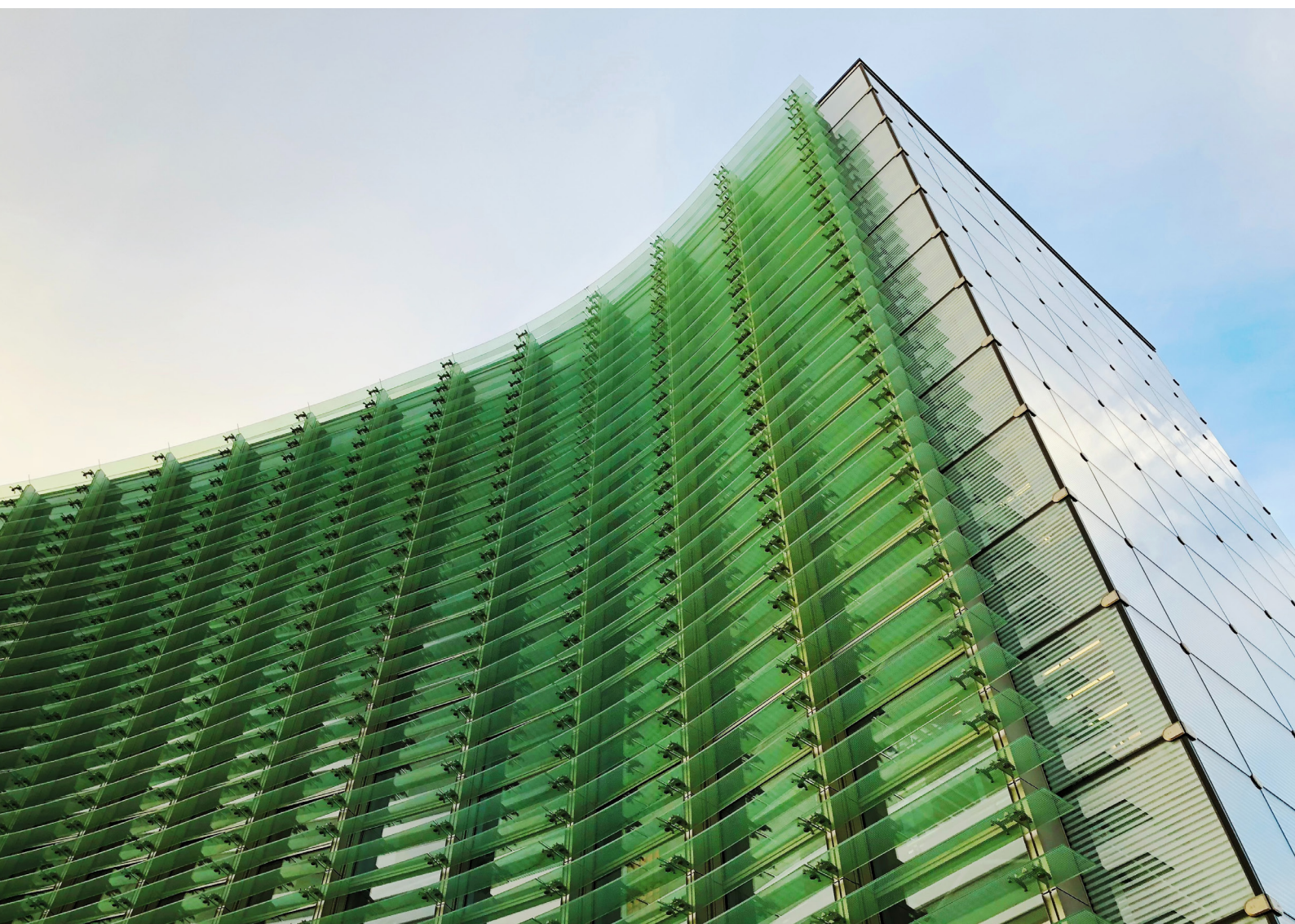




WS EkinsGuinness Funds

Additional Investor Information Document

V5-06-25



WS EkinsGuinness Funds

Sub-funds

WS EkinsGuinness Dynamic Growth Fund
WS EkinsGuinness Global Sector Rotation Fund
WS EkinsGuinness Global Thematics Fund

Authorised Corporate Director

Waystone Management (UK) Limited (“WMUK”)

All correspondence should be sent to the Transfer Agent:

Waystone Management (UK) Limited
PO Box 389
Darlington
DL1 9UF

Telephone: 0345 922 0044

(lines are open 8:30am to 5:30pm Monday to Friday)

Email: wtas-investorservices@waystone.com

Dealing: ordergroup@waystone.com

Depository

The Bank of New York Mellon (International) Limited

Investment Manager

Ekins Guinness LLP
Chapmansford Farm House
Hurstbourne Priors
Whitchurch
Hampshire
RG28 7RR

Website: www.ekinsguinness.com

Application Form continued

THIRD NAMED JOINT APPLICANT (NON-ISA ONLY)

Title (Mr/Mrs/Other):	Gender:	Nationality:	
Surname:	Forename(s):	Town & Country of Residence:	
Permanent Address:		Place of Birth (Town/City):	Date of Birth:
		Country of Birth:	
	Postcode:	National Insurance Number:	
Email Address:		or Tax Identification Number*:	
Daytime Telephone Number:		Please tick here if you do not have an email address <input type="checkbox"/>	
Existing Account Number (if applicable):			

* Only if available, not all countries operate a system of Tax Identification Numbers.

FOURTH NAMED JOINT APPLICANT (NON-ISA ONLY)

Title (Mr/Mrs/Other):	Gender:	Nationality:	
Surname:	Forename(s):	Town & Country of Residence:	
Permanent Address:		Place of Birth (Town/City):	Date of Birth:
		Country of Birth:	
	Postcode:	National Insurance Number:	
Email Address:		or Tax Identification Number*:	
Daytime Telephone Number:		Please tick here if you do not have an email address <input type="checkbox"/>	
Existing Account Number (if applicable):			

* Only if available, not all countries operate a system of Tax Identification Numbers.

Application Form continued

To be used for all non-individual investment applications and submitted with the relevant Self-Certification form. (We do not recognise, nor will we accept applications made by or on behalf of Bare Trusts.)

Details (Please complete in BLOCK CAPITALS)

Please provide separate details for each joint or designated applicant. Joint applicants must each sign. In the case of joint holders, all payments and correspondence will be sent to the first named holder.

Applicant Name (Company, Charity, Trust etc):	<input type="text"/>
Company, Charity, other reference number:	<input type="text"/>
FCA/Regulator Reference (if applicable):	<input type="text"/>
Regulatory Body (if applicable):	<input type="text"/>
Registered Address:	<input type="text"/>
Correspondence/Branch Address:	<input type="text"/>
Telephone Number(s):	<input type="text"/>
Contact Name(s):	<input type="text"/>
Designation (if applicable):	<input type="text"/>
Email Address:	<input type="text"/>

Application Form continued

Anti-Money Laundering Requirements

To enable us to meet our obligations under anti-money laundering regulations and requirements we need you to provide the following verification evidence as applicable:

Documents must be original or certified copies (self-certified documents will not be accepted)

Tick if enclosed

Certificate of Incorporation	<input type="checkbox"/>
Articles & Memorandum of Association	<input type="checkbox"/>
Authorised Signatory List (and signing mandate, if applicable)	<input type="checkbox"/>
Certificate of Incorporation on change of name (if applicable)	<input type="checkbox"/>
Directors – list of all names, addresses and dates of birth	<input type="checkbox"/>
Directors – identity and addresses verification documents	<input type="checkbox"/>
Trust Applications – names and addresses of all trustees, settlors, beneficiaries and protectors/controllers (with identity and address evidence, where appropriate – you may need to ask about this)	<input type="checkbox"/>
Pension Schemes – confirmation of HMRC/Pension Regulator authorisation	<input type="checkbox"/>
Shareholders owning or controlling 25% or more of the shares or voting rights of the applicant	
Please append details of all shareholders or confirm N/A	<input type="checkbox"/>
Please enclose verification evidence for all shareholders	<input type="checkbox"/>

The above is not exhaustive; WMUK reserves the right to request further evidence considered necessary to comply with all applicable anti-money laundering regulations and requirements. Failure to provide information that WMUK has requested may result in delays in registering or redeeming your investment. WMUK will not be liable for any delays (including the release of redemption proceeds) losses or costs that may arise as a result of failure to provide such information. If in doubt about our requirements, please contact us.

You may wish to contact us prior to submitting your account opening request to clarify the anti-money laundering evidence required for the type of account structure held by you.

For details of the documents we accept for the verification of address and identity please refer to our guide available on our website www.waystone.com.

Investor Profile and FSCS Levy Categorisation

To assist us in ensuring we meet our obligations to ensure our funds meet investors' needs and to facilitate compliance with Financial Services Compensation Scheme ('FSCS') levy obligations (on us), please confirm the type of entity making the investment:

Tick as appropriate

1. A supranational institution, government or central administrative authority

2. A provincial, regional, local or municipal authority

3. A collective investment scheme or alternative investment fund (or by the operator or depositary of such a scheme on its behalf)

4. An insurance company, bank or other regulated firm acting on its own account

5. A regulated firm or nominee thereof acting on behalf of its clients*

If you have ticked 5, please indicate the approximate % of those clients who would be "eligible claimants" for FSCS purposes. %

6. A pension or retirement fund (or trustee thereof)
 If you have ticked the previous question, please also indicate whether:

a. The scheme is a personal pension scheme or stakeholder pension scheme which is not an occupational pension scheme

b. The scheme is an occupational pension scheme whose member benefits are money-purchase benefits

c. The investment is in respect of an occupational pension scheme on behalf of an employer which is not a large company, large partnership or large mutual association*†

d. The scheme is not any of the above

7. A corporate body (other than the above)
 If so, please tick if the entity is a "large company"*†

8. A partnership (other than the above)
 If so, please tick if the partnership is a "large partnership"*†

9. A mutual association (other than the above)
 If so, please tick if the mutual association is a "large mutual association"*†

10. A trust, other than one relating to a collective investment scheme or pension scheme

11. An entity type not falling within any of the above
 Please provide a description if you have selected this option:

Please ALSO indicate if the investment is by:

12. A charity

13. Other not-for-profit organisation

Please provide a description if you have selected this option:

* Please ensure that you update this information should it appear to you to be no longer correct.

† The terms "large company", "large partnership" and "large mutual association" are to be read as defined in the Glossary to the FCA Handbook of Rules and Guidance.

Entity Self Certification continued

Part 5 – Declaration and Signature

- I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
- I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the account holder's relationship with Waystone Management (UK) Limited, including those setting out how it may use and share the information supplied by me.
- I acknowledge that the information contained in this form and information regarding the account holder and its accounts may be reported to the tax authorities of the country in which the account(s) is maintained and exchanged with tax authorities of another country or countries in which the account holder may be tax resident in pursuant to intergovernmental agreements to exchange Financial Account information.
- I certify that I am authorised to sign for the account holder in respect of all the account(s) to which this form relates.
- I undertake to advise Waystone Management (UK) Limited within 30 days of any change in circumstances which affects my tax residency status classification or causes the information contained herein to become incorrect, and to provide it with a suitably updated self certification and Declaration within 30 days of such change in circumstances.

Name (please print full name):

Signature:

Date:

D	D	M	M	Y	Y	Y	Y
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Position of signatory (e.g. Director/Secretary):

On behalf of (Entity name):

Please see the subsequent section for Glossary of Terms

ISA Application & Declaration

I apply to subscribe to a stocks and shares ISA for the tax year 20 /20 and any subsequent year until further notice.

I declare that:

- all subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to any combination of permitted ISAs in the same tax year;
- I am a resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Waystone Management (UK) Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties;
- the information I have given is correct to the best of my knowledge and belief. I will inform Waystone Management (UK) Limited if any information provided in this ISA Application and Declaration changes;
- I acknowledge the Additional Investor Information and I agree to the Terms and Conditions available on the website which form a legal contract binding on me. I accept that they may be varied at Waystone Management (UK) Limited's discretion, as provided herein;
- I have received, read and understood or had satisfactorily explained the Key Investor Information Document that has been provided;
- I am aware that the Prospectus and the latest Annual and if more recent Interim Fund Reports are available free of charge and I confirm that I have accessed them to the extent I believe necessary; and
- I agree that if I invest in a monthly savings plan (regular monthly payments), the amount(s) indicated will be deducted each month until further notice.

I authorise Waystone Management (UK) Limited:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

Name (please print full name):

Signature:

Date:

D	D	M	M	Y	Y	Y	Y
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ISA Transfer Declaration & Authorisation

I agree that:

- this application is subject to acceptance by the current ISA Manager;
- I will inform you of any changes in my circumstances;
- I acknowledge the Additional Investor Information and I agree to the Terms and Conditions set out in this document which form a legal contract binding on me. I accept that they may be varied at Waystone Management (UK) Limited's discretion, as provided herein;
- I have received, read and understood or had satisfactorily explained the Key Investor Information Document that has been provided to me;
- I am aware that the Prospectus and the latest Annual and, if more recent, Interim Fund Reports are available free of charge and I confirm that I have accessed them to the extent I believed necessary; and
- Applicable for current tax year ISA transfers only – I am resident in the United Kingdom for tax purposes or if not so resident, perform duties which are by virtue of section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties, and will inform Waystone Management (UK) Limited if I cease to be so resident to perform such duties or be married or be in a civil partnership with a person who performs such duties.

I authorise Waystone Management (UK) Limited:

- to hold my cash transfers, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments; and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

Signature:

Date:

D	D	M	M	Y	Y	Y	Y
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Adviser's Declaration

I accept that this application is governed by Waystone Management (UK) Limited Terms of Business, a copy of which has been or will be supplied to me.

I hereby indemnify Waystone Management (UK) Limited for any loss suffered should it subsequently be discovered that the applicant(s) was/were entitled to cancellation rights and no cancellation notice was sent as a result of the undernoted.

I confirm that the applicant(s) named in this application is/are entitled to cancellation rights under the Conduct of Business (Cancellation) Rules. (Tick only if cancellation rights apply)

Or

I confirm that the applicant(s) named in this application is/are not entitled to cancellation rights under the Conduct of Business (Cancellation) Rules because:

The applicant(s) is/are subject to a customer agreement waiving such rights.

The applicant(s) responded to a direct offer financial promotion advertisement as defined by the Financial Conduct Authority (Conduct of Business) Rules.

The application form was completed outside the United Kingdom as the result of either advice given by me or an advertisement issued outside the United Kingdom.

The applicant is an execution only customer and has not received advice.

Note: if the execution only customer box above is not checked, Waystone Management (UK) Limited will process the application on the basis that advice has been given to the customer.

I confirm we have undertaken anti-money laundering checks in line with the current regulations and confirm that on reasonable request we will provide Waystone Management (UK) Limited copies of all evidence gathered to meet such requirements.

Adviser's name:

Telephone number:

Mobile number:

Fax number:

Adviser/Agent Stamp & Authorisation