

Prudential ISA

# Small Estates Declaration

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V5-10-23



### How to fill in this form

This form should only be completed if a Grant of Probate or Letters of Administration are not being applied for, and the deceased's investment portfolio with us is not more than £30,000.

The Small Estates Declaration must be signed by the next of kin. The next of kin must be related to the deceased by marriage or blood. The intestacy rules govern the order of priority in which relatives of the deceased are entitled to the deceased's estate, as follows:

- Husband, wife or civil partner of the deceased.
- Son or daughter of the deceased (including stepchildren if formally adopted).
- Parents of the deceased.
- Brothers or sisters of the deceased.

### WHAT YOU NEED TO DO

Submit the original form (we cannot accept photocopies) with:

- Original or certified copies of the investor's Will and Death Certificate.
- Bereavement Claim Form signed by all Executors/Administrators (if you want to sell the investment).

Please send the completed documentation to:

Link Financial Investments  
PO Box 384  
Darlington  
DL1 9RZ

### VERIFICATION

#### IMPORTANT: INFORMATION TO BE PROVIDED TO ALL EXECUTORS/SOLICITORS DEALING WITH THE ESTATE

Please note that in accordance with our obligations under UK legislation, we are required to seek evidence of the Executor/Administrator's(s) identity and address. To do so, we will undertake a search with Experian for the purpose of verifying the identity and address of an Executor or Administrator. Experian will check the details we hold about them and which you have supplied against any particulars on the database (public or otherwise) to which Experian may have access. Experian may also use their details in the future to assist other companies for verification purposes; a record of the search will be retained. We also reserve the right to request additional evidence. If this is necessary, we will write to you explaining our requirements and the documents that should be provided.

Your attention is drawn to the above disclosure, which explains we may undertake a search with Experian to verify the identity of an Executor. This applies to all Executors and this notice is deemed to be service of our intent in this regard.

If evidence of identity and address is required, please refer to [A Guide to the Verification of Identity and Address](#) for acceptable documentation. Should you wish to provide certified copies, our standards of certification are also explained here.

## 1. Details of the Deceased

### NAME OF DECEASED

Title (Mr/Mrs/Miss/Other):  Surname:

Middle name(s):

Forename(s):

Date of death:

### ADDRESS

Address line 1:

Address line 2:

Town or city:

County:

Country:

Postcode:

Account number:

Fund name:

       

       

       


Did the deceased leave a will? (If yes, please provide original or a certified copy)

Yes ☐ No ☐

## 2. Executor(s) or Administrator(s) information

### EXECUTOR OR ADMINISTRATOR 1

Title (Mr/Mrs/Miss/Other):  Surname:

Middle name(s):

Forename(s):

### ADDRESS

Address line 1:

Address line 2:

Town or city:

County:

Country:

Postcode:

### EXECUTOR OR ADMINISTRATOR 2

Title (Mr/Mrs/Miss/Other):  Surname:

Middle name(s):

Forename(s):

### ADDRESS

Address line 1:

Address line 2:

Town or city:

County:

Country:

Postcode:

## 2. Executor(s) or Administrator(s) information

### EXECUTOR OR ADMINISTRATOR 3

Title (Mr/Mrs/Miss/Other):  Surname:

Middle name(s):

Forename(s):

#### ADDRESS

Address line 1:

Address line 2:

Town or city:

County:

Country:

Postcode:

### EXECUTOR OR ADMINISTRATOR 4

Title (Mr/Mrs/Miss/Other):  Surname:

Middle name(s):

Forename(s):

#### ADDRESS

Address line 1:

Address line 2:

Town or city:

County:

Country:

Postcode:

### 3. Declaration and Signature(s)

- I/We named above declare that I am/we are the Executors named in the last Will of the deceased or the Administrator(s) of the estate.
- I/We attach a certified copy of the Will and Death Certificate (unless previously supplied).
- I/We have read Link Financial Investment Limited's verification disclosure on page 1.
- I/We confirm that I/We do not intend to apply for a Grant of Probate (or equivalent) and one has not been obtained, and that the value of the deceased's portfolio does not exceed £30,000.
- I/We undertake to indemnify and to keep indemnified Link Financial Investments Limited against all demands, claims, liabilities and losses, costs and expenses whatsoever which may be incurred in consequence of any payment or transfer made in reliance on documentary evidence provided or the statements made in this declaration including, in particular, payments of entitlements and costs and expenses to another party if any of the statements contained in this declaration are incorrect or any documentary evidence provided is false.

#### SIGNATURES OF ALL EXECUTOR(S)/ADMINISTRATOR(S)

		Print name
Signature of Executor/Administrator 1	<input type="text"/>	<input type="text"/>
Date signed	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	<input type="text"/>
Signature of Executor/Administrator 2	<input type="text"/>	<input type="text"/>
Date signed	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	<input type="text"/>
Signature of Executor/Administrator 3	<input type="text"/>	<input type="text"/>
Date signed	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	<input type="text"/>
Signature of Executor/Administrator 4	<input type="text"/>	<input type="text"/>
Date signed	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	<input type="text"/>