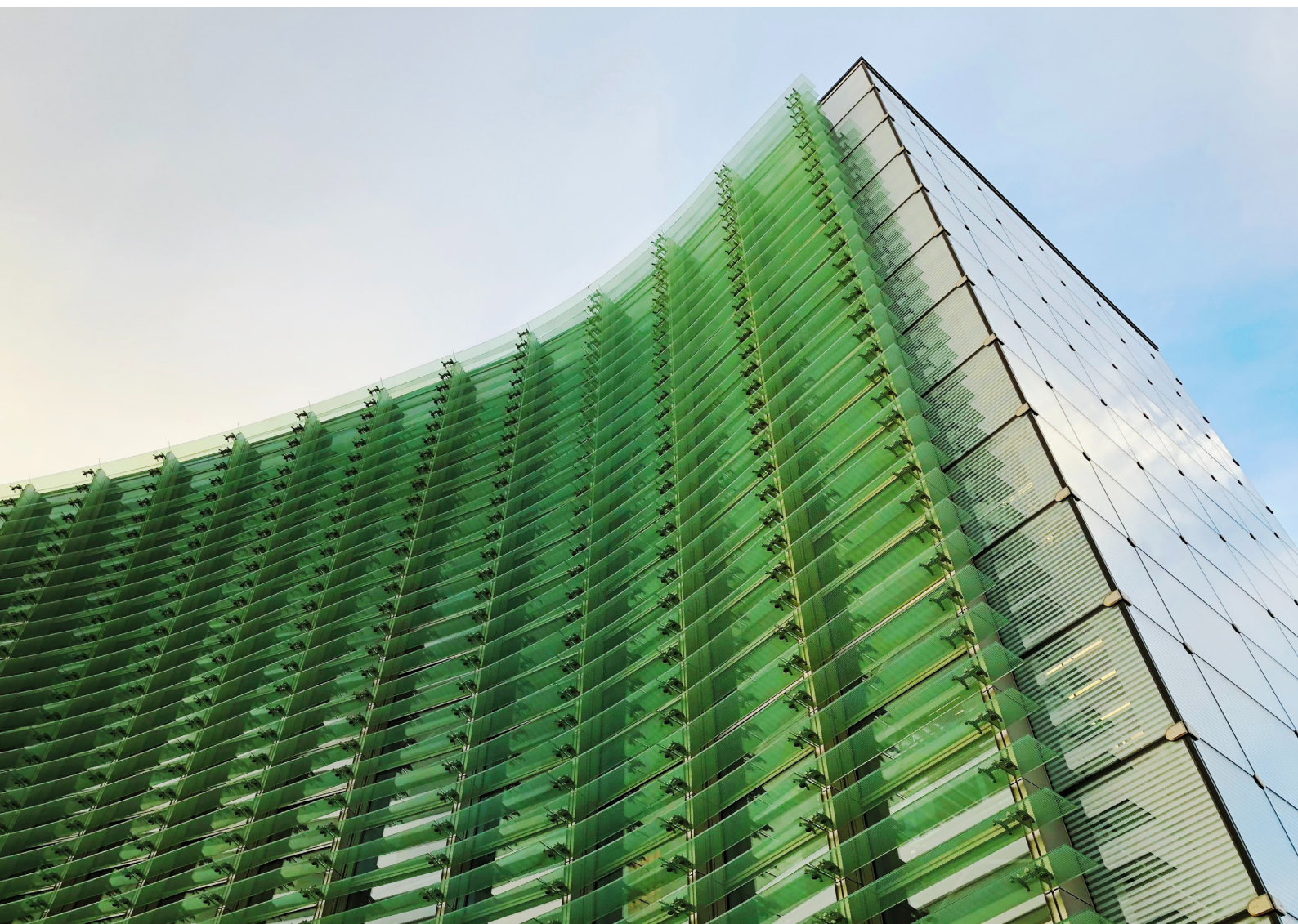




Waystone Financial Investments Limited

Directorships Disclosures



Introduction

The purpose of this document is to satisfy the regulatory obligations on Waystone Financial Investments Limited (the “Company”) to publicly disclose certain other directorships held by members of its Board.

Timing and Location

The disclosures will routinely be updated annually, in line with the finalisation of the Company’s financial statements, and may be updated more frequently should circumstances warrant (e.g. on major regulatory or business change).

The disclosures will be placed on the Company’s website.

Information disclosed is current as at 31 December 2023.

MIFIDPRU 8.3.1 R, 8.3.2 R	<p>A firm to which MIFIDPRU applies is required to disclose the number of directorships (executive and non-executive) held by each member of the <i>management body</i>.</p> <p>This disclosure can exclude:</p> <ol style="list-style-type: none">1. executive and non-executive directorships held in organisations which do not pursue predominantly commercial objectives; and2. executive and non-executive directorships held within the same group or within an undertaking (including a <i>non-financial sector entity</i>) in which the <i>firm</i> holds a <i>qualifying holding</i>.	<p>Excluding directorships falling within 1. and 2., the Company’s directors hold the following other positions:</p> <p>Nil</p> <p>See below</p>
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Note: The Company’s Executive Directors are appointed as Executive Directors within the Waystone Group and they are not holding any Executive or Non-Executive Directorships in other companies.

EXECUTIVE DIRECTORSHIP WITHIN THE WAYSTONE GROUP

Name of Director and Position	Name of Waystone Group Companies	Total Number of Positions (excluding the Company)
Benjamin Hammond, CFO and Executive Director	<ul style="list-style-type: none"> Waystone Nominees (UK) Limited Waystone Administration Solutions (UK) Limited Waystone Transfer Agency Solutions (UK) Limited Waystone Financial Investments Limited 	4
Gordon David Thomson, Executive Director	<ul style="list-style-type: none"> Waystone Nominees (UK) Limited Waystone Financial Investments Limited 	2
Denise Coughlan, Non-Executive	<ul style="list-style-type: none"> Cashel Compliance MidCo 1 Ltd Cashel Compliance MidCo 2 Ltd Cashel Compliance BidCo Ltd Waystone Compliance Solutions (Singapore) Pte. Ltd Waystone Corporate Solutions (Singapore) Pte. Ltd Waystone Compliance Solutions (Ireland) Ltd Waystone Holdings (USA) Inc Waystone Compliance Solutions (USA) Inc Waystone Management Company (IE) Waystone Compliance Solutions (UK) Ltd Waystone Compliance Solutions (UAE) Ltd Sigma Irish HoldCo 1 Ltd Sigma Irish HoldCo 2 Ltd Sigma Irish AcquiCo Ltd Waystone Corporate Services (Ireland) Ltd Cashel Compliance TopCo Ltd Waystone Financial Investments Limited King TopCo Limited King MidCo Limited King EBT & Nominee Limited King DebtCo Limited King BidCo Limited Clifton Directors Limited Waystone Nominees (UK) Limited 	24