LFI Prudential ISA

Change of Advisor Instruction Form

The Prudential ISA is available online for new applications and the management of existing investments.

Online application and account management does not affect ISA eligibility requirements, execution policy or your cancellation rights.

If you have a financial adviser, they are able to buy and sell investments and amend your personal details online on your behalf. (Advice is required for new investments within the Prudential ISA.) The Prudential ISA terms and conditions have therefore been amended to provide your consent for your adviser to buy and sell and to make amendments online on your behalf, and to incorporate requirements particular to online activity (including those relating to personal data and data security). However, the Prudential ISA terms and conditions are otherwise unchanged and apply equally to online and other activity.

Registration is required for access to online services. Once registered for online access, you will be notified of any action taken on your account by you or your adviser on your behalf.

For more information, contact us on 0344 335 8936.

About this form

Please complete all relevant sections in blue or black ink, write in CAPITAL LETTERS and sign this form.

Please return the completed form to: Link Financial Investments Limited, PO Box 384, Darlington DL1 9RZ.

This form should be used to add a financial adviser to your Prudential ISA for the first time, or to inform us if you have changed your adviser.

Ongoing adviser charge – addition of, and changes to, ongoing adviser charging arrangements are made directly between you, the client, and your adviser. Your adviser should manage any changes agreed via the online portal.

If you have any questions about this form, please call us on 0344 335 8936 between 8:30am and 5:30pm Monday to Friday. For your security and to improve the quality of our service, we may record and monitor telephone calls.

Part 1 – Investor details

Link investor ID

Investor name

Investor address

Postcode

Part 2 – Set-up new adviser (please complete if you are appointing an adviser for the first time)

Your adviser's name

Company name

Company address

Postcode

IRN

FRN

If this is a new adviser, please complete Part 3b.

Part 3 – Change of existing adviser

3A. REASON FOR REQUEST

Please tick the box next to the reason for your request

Change of adviser (complete Part 3b) ☐

Release of information (complete Part 3b) ☐

Removal of adviser (complete Part 3c) ☐

3B. DETAILS OF YOUR NEW ADVISER

Your adviser's name

Company name

Company address

Postcode

IRN

FRN

3C. DETAILS OF ADVISER TO BE REMOVED

Your adviser's name

Company name

IRN FRN

You can stop any previously agreed Adviser Charges yourself by contacting us in writing, via email or by telephone.

Part 4 – Declaration

Would you please accept this completed form as my authority to carry out the action indicated in Part 3a above with immediate effect.

I confirm that I am receiving financial advice from the above named company (Part 3b). I also confirm that I am a UK resident for tax purposes.

I accept and confirm that the responsibility for any advice given prior to this servicing transfer must remain with that adviser.

Full name of investor

Signature

Date D D M M Y Y Y Y