



## WS Prudential Investment Funds (1)

# Adding to or Changing Your Investment Form

### About this form

Please complete all relevant sections in blue or black ink, write in CAPITAL LETTERS or tick as appropriate and sign this form on the reverse.

**Before you sign this form:** you should read the latest versions of the Key Investor Information Document (KIID) for your chosen share class in each fund in which you want to invest, and the Additional Investor Information Document you received with this form, which includes the Waystone Management (UK) Limited ("WMUK") Customer Agreement. Copies of the Prospectus, and most recent Annual or Interim Fund Reports are also available to you if desired.

If you have any questions or would like a free up-to-date copy of the KIID, Additional Investor Information Document, Prospectus, most recent Annual or Interim Fund Reports or additional application forms, please call us on **0344 335 8936** between 8:30am and 5:30pm Monday to Friday. All literature will be provided in English. These documents are also available at <https://www.waystone.com/>.

Commission may be payable, depending on the share class chosen and whether advice has been given.

Please return the completed form to **Waystone Management (UK) Limited, PO Box 389, Darlington DL1 9UF**.

We may have to return any application that is incorrectly completed. This could mean that the purchase of your investment may be delayed or even rejected. It could also affect the purchase price of your investment.

You can use this form at any time to:

- make an additional payment to your existing Prudential OEIC.
- change the amount you pay by Direct Debit (in multiples of £5).
- make an investment into a new WS Prudential OEIC.

Please note that if you are resident in the USA, or classed as a US person, in order to comply with US law we are unable to accept your investment. If you submit an application to us it will be rejected and returned to you.

### Part 1 – Adviser details (only to be completed by a financial adviser)

For commission eligibility and FCA product sales data purposes: if you did not provide advice on this sale please tick

☐

Company name

Agent code

Adviser name

FRN

IRN

If advice has been provided on the investment(s) in Part 2, please ensure that you select the "R" Share class, otherwise no adviser charge will be paid for this investment.

## Part 2 – Investor(s) details

First holder	
Second holder (if applicable)	
Customer number	
Designated account name (if applicable)	
Address	
	Postcode

## Part 3 – Making an additional payment to an existing fund or investing into a new WS Prudential fund(s)

The minimum top up into your existing fund(s) is £250 or £500 into a new fund.

Please refer to the Additional Investor Information Document and relevant KIID(s) for full details of the fund(s) and options available.

For each fund in which you invest, please tick either the Accumulation Option or the Income Option. You can only choose one option per fund. For funds offering both Accumulation and Income Options, if none/both options are ticked then the Accumulation Option will be allocated for that fund.

Please specify the share class you wish to invest in, failure to do so may result in your application being rejected.

### PART 3A – MAKING AN ADDITIONAL PAYMENT TO YOUR EXISTING WS PRUDENTIAL FUND(S) (SINGLE PREMIUM)

Fund Name	Income/Accumulation option (delete as appropriate)	R* Share class (advised) (Top-up minimum £250)	A† Share class (non-advised) (New investment minimum £500)
WS Prudential Risk Managed Active 1	Inc/Acc	£	£
WS Prudential Risk Managed Active 2	Inc/Acc	£	£
WS Prudential Risk Managed Active 3	Inc/Acc	£	£
WS Prudential Risk Managed Active 4	Inc/Acc	£	£
WS Prudential Risk Managed Active 5	Inc/Acc	£	£
WS Prudential Risk Managed Passive Fund 1	Inc/Acc	£	£
WS Prudential Risk Managed Passive Fund 2	Inc/Acc	£	£
WS Prudential Risk Managed Passive Fund 3	Inc/Acc	£	£
WS Prudential Risk Managed Passive Fund 4	Inc/Acc	£	£
WS Prudential Risk Managed Passive Fund 5	Inc/Acc	£	£

\* Only select if advice has been provided. Please note that only Accumulation shares are available.

† Only select if no advice has been provided.

PART 3B – CHANGING THE AMOUNT YOU PAY BY REGULAR INVESTMENTS

Direct Debit payments are taken on the sixth of each month or the next business day. Changes must reach us at least ten calendar days before the next collection otherwise the change will take place in the following month.

If you wish to change your bank or building society account please contact us on **0344 335 8936**.

I wish to amend my regular investment contributions starting from 

0	6	M	M	Y	Y	Y	Y
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			Income/ Accumulation option (delete as appropriate)
from	£	per month in the WS Prudential Risk Managed Active 1	Inc/Acc
from	£	per month in the WS Prudential Risk Managed Active 2	Inc/Acc
from	£	per month in the WS Prudential Risk Managed Active 3	Inc/Acc
from	£	per month in the WS Prudential Risk Managed Active 4	Inc/Acc
from	£	per month in the WS Prudential Risk Managed Active 5	Inc/Acc
from	£	per month in the WS Prudential Risk Managed Passive Fund 1	Inc/Acc
from	£	per month in the WS Prudential Risk Managed Passive Fund 2	Inc/Acc
from	£	per month in the WS Prudential Risk Managed Passive Fund 3	Inc/Acc
from	£	per month in the WS Prudential Risk Managed Passive Fund 4	Inc/Acc
from	£	per month in the WS Prudential Risk Managed Passive Fund 5	Inc/Acc

## Part 4 – Income payment details

Do you wish to (i) – Reinvest Income automatically

☐

(ii) – Pay Income out to my nominated bank account (see below)

☐

Please complete this section if you have selected to pay income out so that it can be paid direct to your bank or building society account. Please take care when completing this section as Waystone Management (UK) Limited cannot take responsibility for incorrectly completed bank or building society account details. The bank or building society account must be in the holder's name.

### NAME AND FULL POSTAL ADDRESS OF BANK OR BUILDING SOCIETY

Name

Address

Postcode

Bank/building society account number

Branch sort code

 -  - 

Building society collection account number\*

Name(s) of account holder(s)

  

\* The building society sort code and collection number can be obtained from your building society.

## Part 5 – How you want to pay your adviser

Please tell us what you want to pay to your adviser. We will use the information you provide in this section to pay your adviser.

By signing the declaration in part 6 of this form you are:

- agreeing to the payment of the Adviser Charges documents in Parts a, b and/or c; and
- authorising us to accept all future instructions from your adviser for payment of Adviser Charges that you have agreed to pay them from your product.

You do not need to complete the information in Parts a, b and/or c if:

- your adviser is billing you directly for their services; or
- your adviser is not charging you for their services.

### PART 5A – REGULAR INVESTMENT SET-UP ADVISER CHARGE

Complete this section if you will be making regular investments to your plan and have agreed a charge for advice with your adviser.

Spread payment of Regular Investment Set-up Adviser Charge (please complete one of the following options)

£       a month for   months  
or   % a month for   months

### PART 5B – SINGLE INVESTMENT SET-UP ADVISER CHARGE

Complete this section if you will be making a single investment to your plan and have agreed a charge for advice with your adviser.

Please state the amount of Adviser Charge as either a monetary amount or percentage.

Single payment of £       or   %

### PART 5C – ONGOING ADVISER CHARGE

Complete this section if you have agreed to pay your adviser for ongoing advice.

The Ongoing Adviser Charge can be either a set amount or a percentage of your product.

#### 1. Set amount

£       every month      £       every year  
£       every quarter      £       every half-year

or

#### 2. Percentage

% of your product (this percentage can be taken once a year or split across a different time period)

Yearly  Monthly  Quarterly  Half-yearly

## AMEND REGULAR WITHDRAWALS

If you wish to amend your regular withdrawals, please complete the relevant section of the Withdrawals Form.

## THE DIRECT DEBIT GUARANTEE

- This Guarantee is offered by all banks and building societies that take part in the Direct Debit Scheme.
- The efficiency and security of the Scheme is monitored and protected by your own bank or building society.
- If the amounts to be paid or the payment date change, Waystone Management (UK) Limited will notify you ten working days in advance of your account being debited or otherwise agreed.
- If an error is made by Waystone Management (UK) Limited or your bank or building society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- You can cancel a Direct Debit at any time by writing to your bank or building society. Please also send a copy of the letter to us.



## HOW WE USE YOUR PERSONAL DATA

For a copy of your Data Protection Notice please refer to your original application form. If you have any questions about this please write to: **Waystone Management (UK) Limited, PO Box 389, Darlington DL1 9UF.**

By signing and returning this form, you consent to us processing your data.

## Part 6 – Declaration and authorisation

All holders must sign exactly as their account is registered before we can proceed with your instructions.

I/We have received, read and understood or had satisfactorily explained the latest versions of the KIID(s) and Additional Investor Information Document.

I/We declare that I am/we are the beneficial owner(s) of this investment. If you are not the beneficial owner(s) of this investment, i.e. this investment has been registered in your name(s) on behalf of someone else, please tick this box.

☐

I am/We are aware that the Prospectus and the latest Annual and, if more recent, the Interim Long Report, are available free of charge on request and I confirm that I have accessed them to the extent I believe necessary.

I am/We are not resident in the USA and agree to notify Waystone Management (UK) Limited immediately in the event that I/we become resident in the USA.

First holder

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Second holder  
(if applicable)

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Waystone Management (UK) Limited (WMUK) is authorised and regulated by the Financial Conduct Authority. Registered Office 3rd floor, Central Square, 29 Wellington Street, Leeds LS1 4DL. WMUK is a wholly owned subsidiary of Waystone Centralised Services (IE) Limited and is registered in England and Wales with registered no. 03692681. Telephone 0203 997 2460. Calls may be monitored and/or recorded to protect both you and us and help us with our training. Registered in England and Wales with registered no. 03692681